

Isolation and extraction of antimicrobial substances against oral bacteria from lemon peel

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Abstract We have isolated 4 antibacterial substances that were active against the oral bacteria that cause dental caries and periodontitis, such as *Streptococcus mutans*, *Prevotella intermedia*, and *Porphyromonas gingivalis*, from lemon peel, a waste product in the citrus industry. The isolated substances were identified as 8-geranyloxypsolaren, 5-geranyloxypsolaren, 5-geranyloxy-7-methoxycoumarin, and phloroglucinol 1-β-D-glucopyranoside (phlorin) upon structural analyses. Among these, 8-Geranyloxypsolaren, 5-geranyloxypsolaren, and 5-geranyloxy-7-methoxycoumarin exhibited high antibacterial activity. These 3 compounds were effectively extracted using ethanol and n-hexane, whereas phlorin was extracted with water. Further, the above 3 compounds were present in lemon essential oil and abundantly present in the residue produced upon the cooling treatment of concentrated lemon essential oil.

Keywords Antibacterial substance · Lemon peel · Oral bacteria · 8-geranyloxypsolaren · 5-geranyloxypsolaren · 5-geranyloxy-7-methoxycoumarin · Phlorin

Introduction

Pathogenic bacteria can cause dental caries (e.g., *Streptococcus mutans*) and periodontitis (e.g., *Prevotella*

intermedia and *Porphyromonas gingivalis*). Inhibition of the growth of these bacteria is considered one of the most important factors for the prevention and treatment of these oral diseases (Takahashi and Schachtele 1990). Polyphe-nols in foods such as red grape skins and green tea reportedly inhibit the growth of the oral bacteria that cause dental caries and periodontitis (Kakuda et al. 1994; Smullen et al. 2007). Antibacterial substances in functional foods have been investigated from a practical standpoint.

Citrus fruits such as orange, lemon, and lime, have been cultured widely and processed into juice (Olaniyan 2010). During the manufacture of citrus juice, citrus peels are discarded as waste products. Lemon peel is a useful source of flavonoids, which have high antioxidative activity; pectin; and essential oil; further, it is expected to contain other functional compounds (Miyake et al. 1997). In this study, we attempted to isolate antibacterial substances from lemon peel that were active against oral bacteria that cause dental caries and periodontitis. We attempted also to examine for the condition of effective extraction for the active substances.

Materials and methods

Microorganisms *Streptococcus mutans* ATCC7270, *Prevotella intermedia*, and *Porphyromonas gingivalis* 381, which were isolated from the human buccal capsule, were supplied by Dr. T. Noguchi, Department of Periodontology, School of Dentistry, Aichi Gakuin University, Nagoya, Japan.

Isolation of substances from methanol extracts To extract of the antibacterial substances, 1 kg lemon peel was treated

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with 1 l methanol at room temperature for 3 days. The extract thus obtained was applied to a Cosmosil 75 C 18-OPN ODS column (Nacalai Tesque Inc., Kyoto, Japan; column size: $\varphi 37 \times 500$ mm). The column was washed with 2 l water and successively eluted with 2 l methanol. The compounds in the concentrated eluate were isolated using preparative HPLC and assayed for antibacterial activity against oral bacteria. Preparative HPLC was conducted using the following: column, Shim-pack PREP ODS (L) (Shimadzu Co. Ltd., Kyoto, Japan; $\varphi 15 \times 250$ mm) equipped with a UV detector (280 nm); elution solvent, methanol and water (linear gradient, from 0% to 100% methanol in 60 min); and flow rate, 10 ml/min.

Identification of the antimicrobial compounds The isolated compounds were identified by instrumental analysis. UV absorption spectra were recorded on a spectrophotometer (HITACHI U-2000), and IR spectra were recorded on an FT/IR-8200RC (Shimadzu Co. Ltd., Japan). Fast atom bombardment mass spectra (FAB-MS) were recorded on a JEOL JMS-DX-705 L column. ^1H NMR and ^{13}C NMR spectra were obtained using a JEOL JNM-EX-400 NMR instrument (400 MHz for ^1H and 100 MHz for ^{13}C).

Antibacterial assay *Streptococcus mutans* was cultured anaerobically in BHI medium (Brain-Heart Infusion medium, Nissui Pharmaceutical Co. Ltd., Tokyo, Japan) in a Gas Pak anaerobic jar (BBL, Cockeysville, MD) at 37 °C, and *Prevotella intermedia* and *Porphyromonas gingivalis* were cultured anaerobically in the GAM (Gifu Anaerobic Medium) broth medium (Nissui Pharmaceutical Co. Ltd.) under the same conditions. Each bacterium was inoculated into the medium at a density of 10^5 cells/ml, and the minimum inhibitory concentration (MIC) of the substances isolated from lemon peel was determined using the tube-dilution technique (Sakanaka et al. 1989).

Determination of antibacterial substances Freeze-dried lemon peel powder (0.5 g) was mixed with 10 ml each of water, ethanol, and n-hexane and subjected to ultrasonic treatment for 20 min. The amount of the isolated antibacterial compounds in the resultant solutions was determined using HPLC. Lemon essential oil (Aruba Co. Ltd., Sapporo, Japan; production in USA) was concentrated to one-fifth its volume under reducing pressure in order to remove volatile substances and then cooled to -30 °C for 7 days. The concentrated solution was centrifuged at 20,627g for 15 min, and the pellet was dissolved in n-hexane and analyzed using HPLC. HPLC (LC-10A, Shimadzu Co., Ltd., Kyoto, Japan) was conducted using a Shim-pack CLC-ODS (M) column ($\varphi 4.6 \times 150$ mm, 5 μm) equipped with a UV detector (280 nm) at 40 °C and a flow rate of 1.0 ml/min. The elution solvent for the determination of 8-

geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin was 90% methanol and 10% water, and that for phlorin was 2% methanol and 98% water.

Statistical analysis The amount of antibacterial substances in the extracts obtained using each solvent was expressed as the mean \pm SD ($N=4$). Statistical analysis was conducted using two-way ANOVA followed by the Fisher PLSD test in order to identify significant differences. A 5% significance level ($P<0.05$) was used.

Results and discussion

Isolation and identification of antibacterial substances Three compounds were isolated from the hydrophobic fraction of lemon peel extract and identified as 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin by MS, $^1\text{H-NMR}$, and $^{13}\text{C-NMR}$ analyses (Fig. 1). The data of structural analyses of 8-geranyloxyxypsolaren were shown as follows. UV (MeOH) nm: λ_{max} 213, 250, 264, 300. IR (KBr) cm^{-1} : 1720, 1624, 1448, 1152. $^1\text{H-NMR}$ (*d*-DMSO, 400 MHz) δ: 1.56 (3 H, s, H9'), 1.64 (3 H, s, H10'), 1.69 (3 H, bs, H4'), 2.01 (2 H, m, H5'), 4.99 (1 H, m, H7'), 5.03 (2 H, d, $J=7.0$, H1'), 5.60 (1 H, dt, $J=7.0$ & 1.0, H2'), 6.37 (1 H, d, $J=9.5$, H3), 6.81 (1 H, d, $J=2.5$, H6), 7.36 (1 H, s, H5), 7.69 (1 H, d, $J=2.5$, H7), 7.76 (1 H, d, $J=9.5$, H4), 2.01 (2 H, m, H6'). $^{13}\text{C-NMR}$ (*d*-DMSO, 100 MHz) δ: 16.51(C4'), 17.62(C9'), 25.62(C10'), 26.32(C6'), 39.54(C5'), 70.06(C1'), 106.70(C6), 113.18 (C5), 114.68 (C3), 116.45(C10), 119.41(C2'), 123.74(C7'), 125.80(C11), 131.55(C3'), 131.69(C8'), 143.12(C8), 143.93(C9), 144.31(C4), 146.58(C7), 148.74(C12). FAB-MS (MH^+) m/z : 339 ($\text{C}_{21}\text{H}_{22}\text{O}_4$). Yield: 5.6 mg. The data of structural analyses of 5-Geranyloxyxypsolaren were shown as follows. UV (MeOH) nm: λ_{max} 213, 250, 258, 267, 304. IR (KBr) cm^{-1} : 1720, 1624, 1448, 1152. $^1\text{H-NMR}$ (*d*-DMSO, 400 MHz) δ: 1.60 (3 H, s, H9'), 1.68 (3 H, s, H10'), 1.69 (3 H, d, $J=1.0$, H4'), 2.10 (2 H, m, H5'), 2.10 (2 H, m, H6'), 4.95 (2 H, d, $J=7.0$, H1'), 5.07 (1 H, m, H7'), 5.54 (1 H, tq, $J=7.0$ & 1.0, H2'), 6.28 (1 H, d, $J=9.5$, H3), 6.96 (1 H, dd, $J=2.5$ & 1.0, H6), 7.16 (1 H, bs, H8), 7.60 (1 H, d, $J=2.5$, H7), 8.17 (1 H, dd, $J=9.5$ & 0.5, H4). $^{13}\text{C-NMR}$ (*d*-DMSO, 100 MHz) δ: 16.65(C4'), 17.68(C9'), 25.65(C10'), 26.19(C6'), 39.47(C5'), 69.73 (C1'), 94.19(C8), 105.05(C6), 107.48(C10), 112.52(C3), 114.17(C11), 118.84(C2'), 123.47(C7'), 130.00(C8'), 139.59(C4), 143.02(C3'), 144.85(C7), 148.95(C5), 152.63(C9), 158.10(C12). FAB-MS (MH^+) m/z : 339 ($\text{C}_{21}\text{H}_{22}\text{O}_4$). Yield: 3.8 mg. The data of structural analyses of 5-Geranyloxy-7-methoxycoumarin were shown as

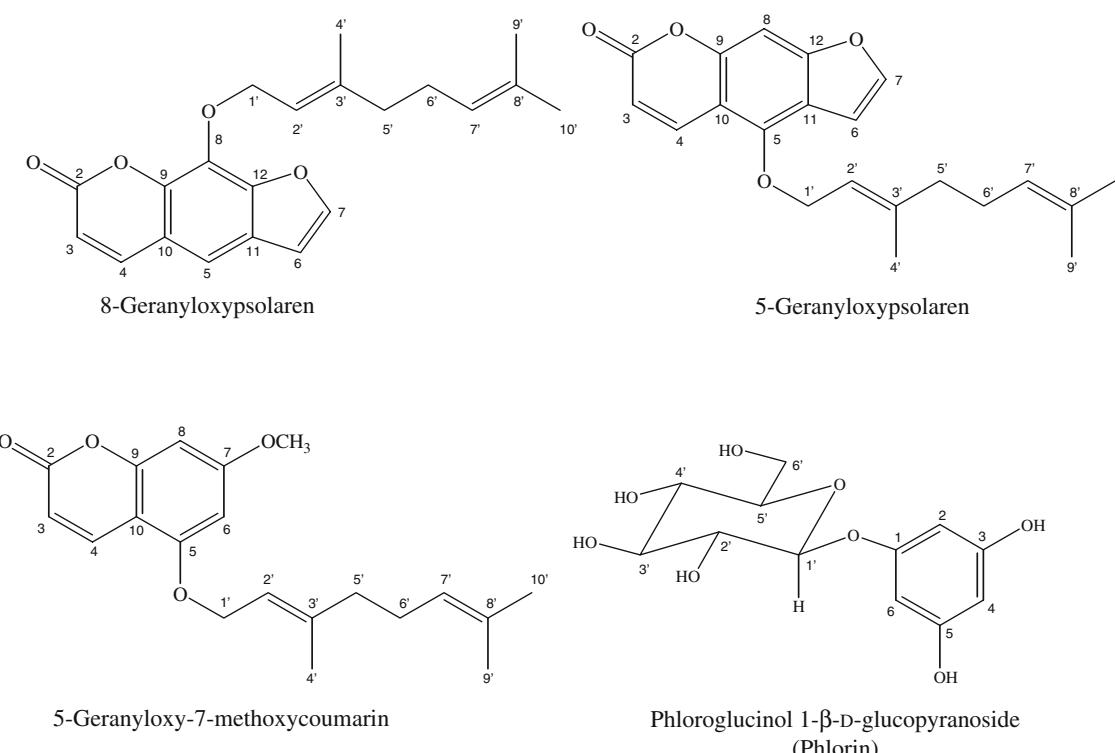


Fig. 1 Chemical structures of antibacterial substances isolated from lemon peel

follows. UV (MeOH) nm: λ_{max} 213, 247, 255, 318. IR (KBr) cm^{-1} : 1740, 1608, 1440, 1160. $^1\text{H-NMR}$ (*d*-DMSO, 400 MHz) δ : 8.01 (1 H, dd, $J=9.5$ & 0.5, H4), 6.41 (1 H, dd, $J=2.0$ & 0.5, H8), 6.29 (1 H, d, $J=2.0$, H6), 6.15 (1 H, d, $J=9.5$, H3), 5.48 (1 H, tq, $J=6.5$ & 1.0, H2'), 5.09 (1 H, m, H7'), 4.60 (2 H, d, $J=6.5$, H1'), 3.85 (3 H, s, OCH₃), 2.12 (2 H, m, H5'), 2.12 (2 H, m, H6'), 1.75 (3 H, bs, H4'), 1.68 (3 H, s, H10'), 1.61 (3 H, s, H9'). $^{13}\text{C-NMR}$ (*d*-DMSO, 100 MHz) δ : 16.65(C4'), 17.68(C9'), 25.65(C10'), 26.19 (C6'), 39.47(C5'), 55.74(OCH₃), 69.73(C1'), 94.19(C8), 107.48(C10), 112.52(C3), 114.17(C6), 118.84(C2'), 123.47 (C7'), 130.00(C8'), 139.59(C4), 143.02(C3'), 148.95(C5), 152.63(C9), 158.10(C7). FAB-MS (MH^+) m/z : 329 (C₂₀H₂₄O₄). Yield: 8.8 mg. The spectral properties of the isolated compounds were consistent with those described in the literature (Dreyer and Huey 1973; Herpol-Borremans et al. 1985; Chang et al. 1997; Murakami et al. 1999).

One antibacterial compound was isolated from the hydrophilic fraction of lemon peel extract and identified as phloroglucinol 1- β -D-glucopyranoside (phlorin) on the basis of MS, $^1\text{H-NMR}$, and $^{13}\text{C-NMR}$ spectral data (Fig. 1). The data of structural analyses of phloroglucinol 1- β -D-glucopyranoside (phlorin) were shown as follows. UV (MeOH) nm: λ_{max} 268, 233, 191. IR (KBr) cm^{-1} : 1613, 3399. $^1\text{H-NMR}$ (CD₃OD, 400 MHz) δ : 3.71 (dd, $J=12.0$, 4.8, C-6' α), 3.83 (dd, $J=12.0$, 1.6, C-6' β), 5.95 (t, $J=2.1$, C-4), 6.08 (2 H, d, $J=2.2$ Hz, C-2), 6.08 (2 H, d, $J=2.2$ Hz, C-6). $^{13}\text{C-NMR}$ (CD₃OD, 100 MHz) δ : 62.5 (C-6'), 71.3 (C-4'), 74.8 (C-2'), 78.0 (C-3'), 78.1 (C-5'), 96.7 (C2), 96.7 (C6), 98.0 (C4), 102.1 (C-1'), 160.1 (C3), 160.1 (C5), 160.9 (C1). FAB-MS (MH^+) m/z : 289 (C₁₂H₁₆O₈). Yield: 10.2 mg. The $^1\text{H-NMR}$ and $^{13}\text{C-NMR}$ spectral data of the isolated compound were consistent with those previously reported (Foo and Karchesy 1989). In the present study, 4

Table 1 Minimum inhibitory concentrations (MICs; mM) of the substances that were isolated from lemon peel and showed activity against oral bacteria

| Substances isolated from lemon peel | Minimum inhibitory concentration (mM) | | |
|-------------------------------------|---------------------------------------|----------------------|----------------------|
| | <i>S. mutans</i> | <i>P. Intermedia</i> | <i>P. gingivalis</i> |
| 8-Geranyloxypsolaren | 0.15 | 0.30 | 0.30 |
| 5-Geranyloxypsolaren | 0.15 | 0.30 | 0.15 |
| 5-Geranyloxy-7-methoxycoumarin | 0.30 | 0.30 | 0.45 |
| Phlorin | 3.50 | 0.90 | 3.50 |

Table 2 Concentrations of anti-bacterial substances isolated from lemon peel by using different extraction solvents

The results are the mean \pm SD of 3 replicates. Means with common letters (^{a–c}) within a column are not significantly different ($P < 0.05$, LSD test)

| Substances isolated from lemon peel | Concentration of the antimicrobial substance (μg/ml) | | |
|-------------------------------------|--|-----------------------------|-----------------------------|
| | Water | Ethanol | n-Hexane |
| 8-Geranyloxyxypsolaren | 0 ^a | 207 \pm 15.1 ^b | 161 \pm 4.9 ^c |
| 5-Geranyloxyxypsolaren | 0 ^a | 108 \pm 9.5 ^b | 97.2 \pm 3.0 ^c |
| 5-Geranyloxy-7-methoxycoumarin | 0 ^a | 121 \pm 14.9 ^b | 101 \pm 3.0 ^b |
| Phlorin | 709 \pm 39.9 ^a | 16.0 \pm 4.7 ^b | 0 ^c |

compounds with activity against oral bacteria were isolated from lemon peel.

Activity against oral bacteria The 4 isolated compounds were examined for activity against *Streptococcus mutans*, *Prevotella intermedia*, and *Porphyromonas gingivalis* (Table 1). The antibacterial activity of 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin was higher than that of phlorin. Coumarin and psoralen derivatives obtained from plants have been reported to possess antibacterial activity (Céspedes et al. 2006). 7-Geranyloxycoumarin, isolated from the oil of hassaku (*Citrus hassaku*), has been reported to show activity against bacteria such as *Bacillus subtilis* (Nakatani et al. 1987). However, 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin have never before been reported to show any antibacterial activity. Phlorin exhibited antibacterial activity against *Prevotella intermedia* and weak antibacterial activity against *Streptococcus mutans* and *Porphyromonas gingivalis*. The present study is the first to report that the above 4 compounds isolated from lemon peel exhibit activity against oral bacteria.

Extraction of antibacterial substances from lemon peel Water, ethanol, and n-hexane were used as extraction solvents for food materials. The amount of the 4 compounds extracted from lemon peel by using each of these solvents was determined (Table 2). Because of their hydrophobic properties, 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin were effectively extracted with ethanol and n-hexane but not water. Ethanol was superior to n-hexane as an extraction solvent for the above compounds. Phlorin was

effectively extracted from lemon peel by using water because of its hydrophilic property. Phlorin is a major phenolic constituent of molasses, which are by-products generated during citrus fruit processing (Fisher and Trama 1979; Manthey and Grohmann 2001). The results of the present study suggest that phlorin may be effectively extracted from molasses.

The substances of 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin are the main coumarin derivatives isolated from lemon peel (Stanley and Jurd 1971; Fisher and Trama 1979). These 3 compounds were detected in lemon essential oil and were present in abundant amounts in the residue obtained upon cooling treatment of the concentrated oil (Table 3). The removal of residue produced upon the cooling treatment of essential oil is known as “wintering” and is a part of the purification process during the manufacture of high-quality oil. The residue (by-product) produced during the wintering of lemon essential oil possibly consists of 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, and 5-geranyloxy-7-methoxycoumarin.

Conclusion

In this study, 8-geranyloxyxypsolaren, 5-geranyloxyxypsolaren, 5-geranyloxy-7-methoxycoumarin, and phlorin were isolated from lemon peel and found to be active against oral bacteria. Therefore, these compounds may be used in oral care materials; further, the development of such materials will enable the effective utilization of by-products produced in the citrus industry, such as molasses or the residue of lemon essential oil.

Table 3 Concentrations of anti-bacterial substances in lemon essential oil and the residue produced upon cooling treatment of lemon essential oil

Data are presented as means \pm SD ($N=3$)

| Substances isolated from lemon peel | Concentration of the antimicrobial substance | |
|-------------------------------------|--|------------------------------------|
| | Lemon essential oil (μg/ml) | Lemon essential oil residue (μg/g) |
| 8-Geranyloxyxypsolaren | 333 \pm 36 | 1,911 \pm 39 |
| 5-Geranyloxyxypsolaren | 550 \pm 18 | 5,761 \pm 163 |
| 5-Geranyloxy-7-methoxycoumarin | 502 \pm 43 | 5,376 \pm 149 |
| Phlorin | 0 | 0 |

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